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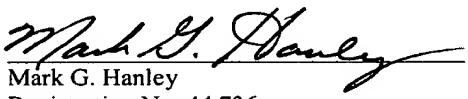
9-22-02

PATENT
Atty. Docket No. 06005/35530

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Havekost et al.
Ser. No. : 09/777,225
Filed : February 5, 2001
For : Hierarchical Failure Management
for Process Control Systems
Art Unit : 2152
Examiner : Not yet assigned

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to:
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Mark G. Hanley
Registration No. 44,736

INFORMATION DISCLOSURE STATEMENT

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SEP 05 2002

Technology Center 2100

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §1.56, the documents identified on the enclosed form PTO-1449, copies of which are enclosed, are disclosed to for consideration in connection with the present application.

This information disclosure statement is being filed, to the best of the undersigned's knowledge, before the mailing date of a first Office action on the merits. In accordance with 37 CFR §1.97(b), no certification or fee is required.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN

Date: August 28, 2002

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